

London Borough of Hammersmith & Fulham

Report to: Cabinet

Date: 01/02/2021

Subject: School Budget (Dedicated Schools Grant) 2021/22

Report of: Councillor Larry Culhane - Cabinet Member for Children and Education

Responsible Director: Jacqui McShannon – Director of Children’s Services & Emily Hill – Director of Finance

Summary

This report seeks approval of the final proposed 2021/22 schools block allocation and funding to schools through the schools funding formula for the financial year ending 31 March 2022. Hammersmith & Fulham Schools Forum agreed the model for 2021/22 budget shares on 12 January 2021. The Education and Skills Funding Agency (ESFA) require political endorsement of the schools’ forum decision to agree the 2021/22 budget.

This report covers the budget decisions for the following Hammersmith & Fulham education provision funded from the four blocks of the Dedicated Schools Grant:

- The Schools Block – funding for mainstream primary and secondary schools. Schools block funding of £110.061m has been confirmed for Hammersmith & Fulham for 2021/22 by The Department of Education (DfE). This represents a 0.45% increase in grant funding in cash terms versus 2020/21 after adjusting for teachers pay and pension grants.
- The Central Services Schools Block Budget – £3.313m funding in 2021/22 to meet the statutory education functions of the local authority and historic central funding commitments.
- Early Years Block – funding for the government's universal and extended childcare and early years education offer for 3 and 4 year olds. £14.860m initial funding notified for 2021/22 in addition to increased funding in 2020/21.
- High Needs Block – funding for the special educational needs provision of £29.142m in 2021/22 financial year. This report requests delegated authority to the Director of Children’s Services to increase high needs place funding to special provision in Hammersmith & Fulham up to the levels indicated in the report.

Recommendations

1. Schools Block and Central Services Schools Block Budget 2021/22 Financial Year
 - a. To approve the Local Authority formula for allocating resources to Hammersmith & Fulham schools for 2021/22 as set out in Appendix 1, the Authority Proforma Tool (APT) for setting school budgets.
 - b. To approve the National Funding Formula (NFF) transitional funding factors (as set out in Appendix 1) as the basis for calculating the 2021/22 schools funding formula, together with a 0.5% per pupil Minimum Funding Guarantee (MFG) protection for individual schools versus 2020/21 pupil led funding levels.
 - c. To approve the disapplication request to transfer £0.528m (0.5% of the total schools block allocation) from the schools block to the high needs block in the 2021/22 financial year as confirmed by Schools Forum on 12 January 2021.
 - d. To approve the proposal to top-slice the 2021/22 Schools Block to the value of £0.200m or 0.18% of the total for the Falling Rolls protection fund in 2021/22, as confirmed by Schools Forum on 12 January 2021.
 - e. To approve de-delegation budgets of £0.696m for maintained mainstream schools only, as confirmed by Schools Forum on 12 January 2021.
 - f. To approve the education functions budgets of £0.273m for maintained mainstream schools only, as confirmed by Schools Forum on 12 January 2021.
 - g. To approve the proposed budget allocation for Central Services Schools Block DSG totalling £3.313m.
2. Early Years Block Budget 2020/21 and 2021/22
 - a. Agree deployment of the revised 2020/21 Budget for 3 and 4 year olds including additional funding received for the 2019/20 financial year. The increase is £0.412m from 2019/20 and £0.888m for 2020/21 based on the revised allocation received in July 2020.
 - b. Agree 2021/22 initial budget allocation of £14.860m for 3 and 4 year olds in line with grant conditions, including an increase in the hourly Base Rate paid to providers from £6.20 to £6.26 and the high level central Early Years budget of £0.743m.
3. High Needs Block Place Commissioning 2021/22
 - a. To delegate authority to the Director of Children's Services in consultation with the Cabinet Member for Children and Education to

increase high needs place funding to special provision in Hammersmith & Fulham up to the levels indicated in the report and to a total of 920 FTE places in 2021/22 financial/academic year and following negotiation with specialist providers in Hammersmith & Fulham.

Wards Affected: All

H&F Priorities

Our Priorities	Summary of how this report aligns to the H&F Priorities
Building shared prosperity	The allocation of funding to local schools who are employers within the borough.
Creating a compassionate council	Enables pupils within the borough to receive a good quality education.
Doing things with local residents, not to them	Providing educational opportunities for all pupils within the borough.
Being ruthlessly financially efficient	Contributes to a level of financial stability for schools. The high needs block transfer enables this support to schools to continue and helps to reduce the high needs budget pressure.
Taking pride in H&F	Ensures continuing good quality provision in the borough's schools enabling pupils to take pride in their school and the borough.

Financial Impact

Schools Block Budget

This report covers the allocation of the funding for all mainstream schools in Hammersmith & Fulham for education between reception and year 11 for the period April 2021 to March 2022. The basis for the distribution of funds within the Schools Block of the Dedicated Schools Grant across all schools is in line with the regulated range of allowable variables.

Other than the proposed transfer between blocks, the remaining funds are distributed to individual schools in line with their individual characteristics and with regard to the local formula.

The budget for the falling rolls protection fund for 2021/22 is available from the budget top sliced from the 2021/22 budget shares.

De-delegated budgets are budgets managed for schools centrally by the local authority on their behalf.

Funding for education functions is to meet the cost of providing statutory education functions on behalf of maintained schools and in line with the education funding regulations.

Central Services Schools Block (CSSB)

The Historic element of CSSB funding has reduced by £0.571m in the year to 2020/21 (a total decrease of £1.1m versus 2019/20). The decrease has been met by savings on historic CSSB which to date have benefited the High Needs Block as a transfer between blocks.

A balanced budget has been set for 2021/22. The Education and Skills Funding Agency will confirm further block funding reductions from 2022/23 in the new financial year. The Education Department is exploring budget options for 2022/23 in order to mitigate potential further block reductions.

Early Years Block

The 2020/21 additional funding for 3 and 4 year olds notified in July 2020 was made up of two elements

- £0.412m relating to 2019/20 actual entitlements due in the main to additional take up of the extended offer.
- £0.888m relating to 2020/21 based on the January 2020 census.

The proposals detailed in the report below are to allocate the 2019/20 funding of £0.412m to training, Covid 19 catch up support and a one off Spring Term payment to all providers. These proposals are in line with DfE guidance for the deployment of 3 and 4 year old funding.

The majority of the 2020/21 additional funding will be required to fund the additional extended hours entitlements identified in January 2020 for the rest of the financial year.

The Early Years proposed budget for 2021/22 will ensure the 95% pass through rate of grant as required by the funding regulations and grant conditions. Only 5% of the grant allocation is budgeted as local authority incurred expenditure to support the sector and vulnerable children in private and voluntary nursery settings.

High Needs Block (HNB)

The current financial year 2021/22 budget, outturn and forecast is shown in table 8. The budget and forecast outturn figures are shown pre-academy recoupment and include £2.778m which will be deducted from the allocation that the local authority will receive. This figure equates to £1.199m for pre-16 SEN places at Special School Academies and Alternative Provision (AP) academies and £1.579m for post-16 SEN places at Further Education (FE) providers and 16-19 Academies.

The draft budget figure includes an assumption of a 0.5% transfer from the Schools block to the High Needs block, c.£0.528m.

The draft forecast is showing a projected FY 2021/22 HNB overspend of £0.750m and allows for place commissioning proposals and the passing previously mainstreamed grants to schools and establishments. This forecast will continue to be monitored closely.

The cumulative HNB deficit brought forward at 1st April 2020 is c.£19.8m. Based on current forecasts, the cumulative deficit at 31st March 2022 including the FY 2021/22 forecast highlighted above is c.£23.647m.

2021/22 draft HNB budget and forecast

Summary Category	Draft 2021/22 Budget £m	Draft forecast 2021/22 Outturn £m	Draft 2021/22 variance £m
Alternative Provision	0.973	1.000	-0.027
Commissioned Service	3.012	2.623	0.389
Discretionary payments	0.021	0.040	-0.020
EHCP Top Up	15.732	16.745	-1.013
LAC Belongings	0.500	0.303	0.197
Place Funding	7.535	8.056	-0.521
SEND Service	1.635	1.654	-0.020
Other	0.264	0.000	0.264
Total	29.670	30.421	-0.750

Increases in commissioned numbers will impact on high needs grant funded expenditure and may mirror pressures on the overall high needs budget. However, any increases would be agreed following a detailed understanding of the schools financial position and need for additional funding for the effective running of schools. Place funding increases are expected to take place in tandem with schools agreement to deliver specialist therapeutic interventions from the schools budget.

Legal Implications

The Dedicated Schools Grant is payable to local authorities under section 14 of the Education Act 2002. It is a 'ring fenced grant' that is to say it must be solely spent on the grant conditions and guidance has been prepared by the Education and Skills Funding Agency (ESFA) to assist local authorities in the operation of the dedicated schools grant (DSG).

The School and Early Years Finance (England) Regulations 2020 provide that Local Authorities must consult their Schools Forum and schools maintained by them when determining the school funding formula. Cabinet is the decision-making body for the schools funding formula and must consider the outcome of the consultation and take that into consideration when making a decision on whether to adopt the NFF, as per Schools Forum's recommendation. Although not duty bound to follow the recommendation of Schools Forum following the consultation, if Cabinet departs from the recommendation clear reasons must be given.

In 2020-21, The Council will continue to determine schools' budget allocations at a local level, through a local funding formula, though in future years to come this will change to a national set funding formula

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Background Papers Used in Preparing This Report

Schools Forum 12/01/21 Papers and draft minutes – published
<http://democracy.lbhf.gov.uk/ieListDocuments.aspx?CId=473&MIId=7086&Ver=4>

Schools revenue funding 2021 to 2022 Operational Guide
[Schools operational guide: 2021 to 2022 \(publishing.service.gov.uk\)](https://publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/94422/schools-operational-guide-2021-to-2022.pdf)

Early Years Funding 2021 to 2022 Operational Guide
[Early years entitlements: local authority funding of providers operational guide 2021 to 2022 \(publishing.service.gov.uk\)](https://publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/94422/early-years-entitlements-local-authority-funding-of-providers-operational-guide-2021-to-2022.pdf)

DETAILED ANALYSIS

Schools Block and Central Services Block

1. The recommended model of distribution of the Schools Block of the Dedicated Schools Grant through the Authority Proforma Tool was agreed by Schools Forum on 12th January 2021 as below and per the detailed funding factor rates and values in Appendix 1.
2. The agreed model provides stability to schools in 2021/22 by ensuring that schools benefit from a 0.5% minimum funding guarantee on a per pupil basis. The agreed factors are National Funding Formula (NFF) factors being uprated by 8.42% to ensure the full schools block allocation is distributed to schools.
3. A transfer to support the High Needs Block of £0.528m or 0.5% of the block has been agreed with Schools Forum and will be used to mitigate funding pressures in the high needs budget in 2021/22.
4. De-delegation budgets of £0.695m for maintained mainstream schools have been agreed at Schools Forum. These are budgets that maintained primary schools agree to delegate to the local authority to manage and spend.

Area of expenditure	2021/22 £m
Maintained Schools in Financial Difficulty/Contingency	0.192
Maintained Schools Trade Union Facilities Cover	0.030
Maintained Schools Maternity Cover	0.134
Maintained Schools Licence Fees	0.032
Behavioural Support (SEND)	0.052
Free School Meals Eligibility	0.031
Additional School Improvement and Support to EAL and Underperforming Ethnic Groups	0.225
Total	0.696

5. Education functions budgets of £0.273m for maintained mainstream schools and as approved by Schools Forum on 12 January 2021. These budgets are set with reference to DfE schools funding guidance to meet the cost of meeting the local authorities retained education functions with respect to maintained mainstream schools.

Area of expenditure	2021/22 £m
Finance	0.095
Asset management	0.092
Asbestos management and risk	0.048
SIMS support	0.015
Business Intelligence	0.023
Total	0.273

6. Falling rolls budget support of £0.2m is proposed within the budget to provide limited assistance to mainstream schools to manage the impact of reduced rolls in the short term. Schools Forum have agreed the fund which is available to mainstream schools with a good or outstanding Ofsted assessment per the grant conditions. Available funding will be allocated to eligible schools in due course through Schools Forum decision.

Central Services Schools Block

7. In 2021/22 there is no change to the arrangements for Central Schools Services Block (CSSB) of the DSG. The CSSB allocation for 2020/21 provides funding for:
- The retained duties element of the Education Services Grant (ESG) for all schools
 - Ongoing central statutory functions – for example Admissions – for maintained schools
 - Ongoing historic commitments.
8. For historic commitments there will be a further reduction to this element of funding for all local authorities receiving it. For Hammersmith & Fulham this equates to a further reduction in grant of circa £0.571m in 2021/22 versus 2020/21 (circa £1.1m since 2019/20).
9. Since 2018/19 financial year significant savings have been achieved against CSSB to allow a transfer to support High Needs Block funding pressures. The cumulative value of those savings is £1.1m per annum. However, as a result of the DfE reduction on the CSSB from 2021/22 the transfer to the High Needs block is no longer possible.
10. It is unknown at this time the value of any further reduction proposed in CSSB from 2022/23 and beyond and Hammersmith & Fulham await further information from the Department of Education.
11. The CSSB budget for 2021/22 is presented below and as approved by Schools Forum on 12 January 2021.

Area of Expenditure	2021/22 Proposed
	£m
Copyright Licensing	0.129
Asset Management, Place Planning and Strategic Operations	0.156
Asset Management – CERA Commitment	1.435
Management, Support, Finance/Business Intelligence and SACRE	0.545
Virtual School	0.282
Lilla Huset Professional Development Centre	0.119
Admissions and ACE	0.606
Transfer to High Needs	0
Centrally Employed Teachers	0.041
Total Spend/Funding	3.313

Early Years Block

12. The final 2019/20 and provisional 2020/21 allocations were received in July 2020. These allocations provided additional funding for 3 and 4 year olds of:

- £0.412m for 2019/20 based on the January 2020 census which showed an increased uptake of the extended hours entitlements.
- £0.888m for 2020/21 also based on the January 2020 census which has been used to revise the 2020/21 budget as shown below

Revised 2020/21 Early Years Budget – 3 & 4 YO Universal and Extended Offer

	20/21 Budget Original	20/21 Budget Revised	Change in year
	£000	£000	£000
Participation based on estimated hours – base rate	10,742	11,431	689
Participation based on estimated hours – deprivation.	1,224	1,302	78
Central services expenditure – 5% maximum allowed	693	737	44
SEN Inclusion Fund	300	300	0
Provision for Vulnerable & Additional Needs Children	600	600	0
Contingency	302	379	77
TOTAL SPEND	13,861	14,749	888
DSG EY funding	-13,861	-14,749	-888
NET POSITION	nil	nil	nil

13. It is proposed to use the 2019/20 additional funding to benefit the Early Years sector by allocating it as follows:

- Training for Early Years Providers £0.02m. This will enable us to roll-out training for all providers in how to identify and support children with autism as well as offering other relevant training courses. There has not been any training provided for some time so it is thought that this is much needed and will be well received.
- Covid 19 catch up support £0.09m. This will enable us to provide additional support to pupils identified as having been impacted by the lockdown periods who are now in need of additional catch-up support to enable them to access provision. This will be commissioned in accordance with the Early Years Funding Guidance.
- One off Spring Term payment to all providers, £0.302. This will enable us to provide a one-off payment to all providers who are open and provide them with additional financial security during this uncertain time. This should ensure settings remain open and viable.

14. Also, it is proposed to use £0.246m of unallocated contingency from the 2020/21 revised budget to increase the funding available for the One off Spring term payment to £0.548m. It is estimated that this will allow for a Spring

supplementary rate of £0.90 per hour based on Spring 2020 actual activity of 608,900 hours across all early Years providers.

15. The 2021/22 Early Years Block initial allocation was received in December 2020 and is based on the January 2020 Early Years census. The table below gives a high-level summary of the allocation.

2021/22 Initial Early Years DSG Allocation

Element	Funding £m
3- and 4-YO universal offer	12.109
3- and 4-YO extended offer	2.751
Sub-total 3- and 4-Year Old Offer	14.860
2-YO Disadvantaged offer	1.161
EY Pupil Premium	0.089
Disability Access Fund	0.048
MNS supplementary funding	0.850
TOTAL	17.008

16. The decisions required in this report relate to the allocation of the 3 and 4 year old funding which is made up of a number of parts. The table below shows the proposed breakdown of the 2021/22 budget which is compliant with the DfE regulations for 95% pass through to Early Years providers. This budget includes an increase of £0.06 in the hourly base rate paid to providers from £6.20 to £6.26.

Proposed 2021/22 Early Years Budget – 3- & 4-YO Universal and Extended Offer

	20/21 Budget Revised	21/22 Proposed Budget	Change year on year
	£m	£m	£m
Participation based on estimated hours - base rate	11.431	11.542	0.111
Participation based on estimated hours – deprivation.	1.302	1.302	0.000
Central services expenditure - 5% maximum allowed	0.737	0.743	0.006
SEN Inclusion Fund	0.300	0.500	0.200
Provision for Vulnerable & Additional Needs Children	0.600	0.550	(0.050)
Best Practice, Training and Network Building	0.000	0.150	0.150
Contingency	0.379	0.073	(0.306)
TOTAL SPEND	14.749	14.860	0.111
DSG EY funding	(14.749)	(14.860)	(0.111)
NET POSITION	nil	nil	nil

17. Funding for Early Years is adjusted annually to take account of the changes in the January census numbers. The final allocation for 2021/22 financial year will not be confirmed until July 2022.
18. There will be a short consultation with Early Years providers based on the recommendations in paragraph 16 above in line with DfE requirements. The results will be presented to Schools Forum in March along with the final proposed budget for 2021/22.

High Needs Block Place Commissioning

19. It is proposed to increase the budgeted number of high needs commissioned places in academies and maintained provision by a total of 70 FTE places representing an increase FY 2021/22 cost to the HNB of £0.620m.
20. This would enable an increase in high needs place funding to special provision in Hammersmith & Fulham up to the levels indicated in the report and to a total of 920 FTE places in 2021/22 financial/academic year and following negotiation with specialist providers in Hammersmith & Fulham.
21. This increase in HNB expenditure should be considered in the wider review of the HNB and the identification of savings proposals as part of a High Needs Block review.

FY 2021/22 Financial impact of proposed commissioned place numbers

<u>Academies, Free schools, FE colleges.</u>									
School	FY 2020/21 Total commissioned places	RESIDENT pupils from 2020 Oct census	NON RESIDENT pupils from 2020 Oct census	TOTAL pupils	FY 2020/21 Numbers of pupils in excess of total commissioned places	Proposed number of places to be commissioned FY 2021/22	FY 2021/22 cost to DSG based on proposed places £	Additional FY 2021/22 DSG cost £	
Queens Manor Resource Unit	20	8	0	8	-12	20	120,000	40,000	
Ealing, Hammersmith and West London FE college	201	35	216	251	50	251	1,506,000	300,000	
Courtyard AP Academy	16	8	3	11	-5	16	160,000	-	
The Bridge AP Academy	87	38	1	39	-48	78	780,000	-	90,000
TBAP 16 - 19 Academic AP Academy	15	1	5	6	-9	6	60,000	-	90,000
Sub-total	339	90	225	315	-24	371	2,626,000	160,000	
<u>Maintained schools</u>									
Cambridge School	75	31	86	117	42	100	1,000,000	250,000	
Jack Tizard	70	38	32	70	0	70	700,000	-	
Queensmill School	144	96	73	169	25	157	1,570,000	130,000	
QM at Fulham Primary	30	30	0	30	0	30	300,000	-	
QM at Fulham Cross Girls	7	7	7	14	7	7	70,000	-	
QM at Fulham College Boys	15	16	18	34	19	15	150,000	-	
QM Pavilion	0	0	0	0	0	0	0	-	
Woodlane	100	58	47	105	5	100	1,000,000	-	
Miles Coverdale Resource Unit	20	5	1	6	-14	20	140,000	80,000	
William Morris 6th Form	50	20	32	52	2	50	500,000	-	
Sub-total	511	301	296	597	86	549	5,430,000	460,000	
Total	850	391	521	912	62	920	8,056,000	620,000	

22. The proposals for Academies, Free schools and FE colleges results in an increase to the financial year (FY) 2021/22 HNB recoupment and forecast of £0.160m. This is due to the full year effect of the increase in places at the Ealing, Hammersmith and West London FE college from 102 places to 251 from

Academic Year (AY) 2020/21 meaning the full impact hasn't been realised in FY 2020/21 and is increasing costs by £0.300m in FY 2021/22.

23. The increase above is offset by a reduction in places at The Bridge AP (TBAP) Academy reflected in the TBAP SLA and a reduction in the TBAP 16-19 AP Academy as TBAP can't deliver the service citing funding restraints. This will result in a reduction of £0.180m.
24. There is a slight increase in costs of £0.040m for the Queens Manor SEN resource unit as empty places have increased which become a cost to the HNB. This will be resolved in the pending SEN sufficiency review.
25. The proposals for maintained schools would result in a maximum increase to the FY 2020/21 HNB forecast of £0.460m. This is primarily due to the potential increase in high need commissioned places at Cambridge and Queensmill schools and an increase in costs attributable to the HNB for empty places at the Miles Coverdale resource unit.
26. Place funding is allocated as an annual amount of core funding. Once place funding is allocated, it is not associated with or reserved for a specific local authority or individual pupil or student. It is for the institution to decide how best to apportion their total allocated core funding across the actual number of places commissioned by local authorities, considering the provision and support that may be specified in the individual pupils' or students' EHC plans. It is therefore the expectation that any negotiations concludes with the delivery of specialist interventions in line with increased core funding.

Reasons for Decision

27. Schools' budget shares from the schools block of the Dedicated Schools Grant (DSG) are agreed annually according to the process and regulations set out by the Education and Schools Funding Agency (ESFA).
28. Schools Forum and the Local Authority must approve the basis for the allocation to schools in January/early February. Hammersmith & Fulham Schools Forum agreed the budget model proposed at a meeting on 12 January 2021.
29. Both the Early Years and High Needs Block budgets in Hammersmith & Fulham are significant in value at £14.9m and £29.7m respectively. Whilst the application of Dedicated Schools Grant is heavily regulated by grant conditions and the requirements of the national funding formula funding guidance, local authorities must work with Schools Forum and education leaders to agree effective, efficient and value for money budgets.
30. The High Needs Block budget set for 2021/22 assumes an overspend of circa £0.75m versus the grant funding available from government. This represents an overspend of 2.6% of the funding allocation in 2021/22. This is a marked improvement on the position in 2017/18 financial year where the overspend represented some 27.8% of the funding allocation.

31. Work continues to balance the position on the High Needs Block in collaboration with schools in Hammersmith & Fulham, alongside ongoing discussions with the Department for Education in order to address the retained deficit on the Dedicated Schools Grant.

Equality Implications

32. There are no direct negative equality implications for groups with protected characteristics, under the Equality Act 2010, by the approval of these funding proposals set out in the Recommendations.
33. Officers anticipate a neutral impact as the funding model outlined in this report is intended to provide financial stability to schools in the final year of the transitional period before changes in funding and allocations arrangements. The report allows for an increase in the place funding allocation for special schools subject to mutual agreement with schools.
34. Place funding decisions will be negotiated with schools, accounting for the needs of the school population and to ensure a budget for the efficient and effective running of schools. In addition to place funding, individual learners also attract top-up funding to deliver to the specification in their Education, Health and Care Plans.

Implications completed by:

Tony Burton, Head of Finance Children's Services and Education, tel. 07909 004710 and

Daryle Mathurin, Strategic Lead Education, Assets and Operations, tel. 020 8753 1432

Risk Management Implications

35. The council has experienced growing pressures on the High Needs budget as a result of demographic growth, government policy changes and the continuing impact of the government's austerity. Ensuring that resources available to schools are appropriately allocated supports the delivery of the council's corporate priorities to ensure that children and young people will receive a great start in life and that schools will be amongst the best in the country, with enough places for all and with all children achieving the best that they can.
36. In line with the Council's objective of being Ruthlessly Financially Efficient and given the significant financial pressures faced by schools, it is important that strong oversight and financial monitoring continues to be maintained and appropriate ongoing assurances provided on the management of these risks.

Implications completed by: Michael Sloniowski, Risk Manager, tel: 020 8753 2587

Consultation

37. The local authority consulted with Schools Forum in November 2020 with respect to three potential models for the distribution of the provisional schools block allocation advised in October 2020 by the ESFA. Three different models were discussed. One based around the 2020/21 model for Hammersmith & Fulham with a falling rolls fund, one based around the 2020/21 model without a falling rolls fund and another based on the hard National Funding Formula rates. Schools Forum agreed the recommendation of the first model subject to the final funding allocation modelling.
38. The local authority consulted with the wider schools' community in November 2020 with respect to the proposed options for the schools block budget allocation. Budget workshops were held for schools to review the key elements of the proposed model in November 2020. Further budget workshops were held January 2021 to include details of the proposals and the updated final funding notified by the ESFA on 17th December 2020, prior to Schools Forum on the 12th January 2021.
39. Hammersmith & Fulham Schools Forum agreed the proposed 2021/22 schools block budget shares on 12 January 2021.
40. Following Schools Forum on 12th January Early Years providers will be consulted with respect to budget proposals to support the sector in the Spring 2021 term and beyond.
41. There will be ongoing liaison and consultation with maintained special school around the proposed high needs place commissioning proposals to ensure appropriate resources for our education partners.

List of Appendices:

Appendix 1, the Authority Proforma Tool (APT) for setting school budgets.

Appendix 1 The Authority Proforma Tool submitted to ESFA pending LBHF decision

Local Authority Funding Reform Proforma										
LA Name:	Hammersmith and Fulham									
LA Number:	205									
Primary minimum per pupil funding level	Secondary (KS3 only) minimum per pupil funding level	Secondary (KS4 only) minimum per pupil funding level	Secondary minimum per pupil funding level	Disappication number where alternative MPPF values are used						
£4,180	£5,215.00	£5,715.00	£5,415.00							
Pupil Led Factors										
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Reception uplift	No	Pupil Units		0.00					
	Description	Amount per pupil		Pupil Units	Sub Total	Total	Proportion of total pre MFG funding (%)	Notional SEN (%)		
	Primary (Years R-6)	£4,008.15		9,474.00	£37,973,232	£81,246,427	35.08%	2.95%		
	Key Stage 3 (Years 7-9)	£5,652.22		4,469.00	£25,259,791		23.34%	2.53%		
Key Stage 4 (Years 10-11)	£6,369.66		2,828.00	£18,013,404	16.64%		2.53%			
2) Deprivation	Description	Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
	FSM	£0.00	£0.00	2,547.65	1,621.37	£0	£10,228,389	9.45%	0.00%	0.00%
	FSM6	£737.97	£1,078.08	2,938.06	2,525.48	£4,890,866			18.41%	26.40%
	IDACI Band F	£275.94	£397.86	1,047.44	774.00	£596,974			7.00%	8.90%
	IDACI Band E	£333.69	£532.62	1,872.10	1,248.25	£1,289,550			11.10%	15.40%
	IDACI Band D	£526.20	£744.39	908.78	654.56	£965,447			27.00%	33.00%
	IDACI Band C	£571.13	£808.56	1,089.61	927.04	£1,371,883			31.40%	35.40%
	IDACI Band B	£609.63	£872.73	685.86	566.17	£912,234			1.00%	1.00%
IDACI Band A	£795.72	£1,103.75	87.09	119.71	£201,435	1.00%			1.00%	
3) Looked After Children (LAC)	LAC March 19	£551.23		55.00		£30,318	£2,441,630	0.03%	100.00%	
	EAL 3 Primary	£705.89		2,290.23		£1,616,654		2.13%	100.00%	
4) English as an Additional Language (EAL)	EAL 3 Secondary	£1,905.90		362.21		£690,333			100.00%	
5) Mobility	Pupils starting school outside of normal entry dates	£1,155.07		76.98		£104,326		0.10%	100.00%	
6) Low prior attainment	Description	Weighting	Amount per pupil (primary or secondary respectively)	Percentage of eligible pupils	Eligible proportion of primary and secondary NOR respectively	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
	Primary low prior attainment		£1,405.35	27.08%	2,565.19	£3,604,998	£5,892,249	5.44%	100.00%	
	Secondary low prior attainment (year 7)	64.53%		14.51%						
	Secondary low prior attainment (year 8)	64.53%		14.49%						
	Secondary low prior attainment (year 9)	63.59%	£2,130.49	14.43%	1,073.58	£2,287,251				100.00%
	Secondary low prior attainment (year 10)	58.05%		13.75%						
Secondary low prior attainment (year 11)	48.02%		16.45%							
Other Factors										
Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)			
7) Lump Sum	£151,188.00	£151,188.00			£7,105,836	6.56%	0.00%	0.00%		
8) Sparsity factor	£0.00	£0.00	£0.00	£0.00	£0	0.00%	0.00%	0.00%		
Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a tapered lump sum or the NFF weighting for any of the phases.										
Primary distance threshold (miles)		Primary pupil number average year group threshold		Fixed, tapered or NFF sparsity primary lump sum?	Fixed					
Secondary distance threshold (miles)		Secondary pupil number average year group threshold		Fixed, tapered or NFF sparsity secondary lump sum?	Fixed					
Middle schools distance threshold (miles)		Middle school pupil number average year group threshold		Fixed, tapered or NFF sparsity middle school lump sum?	Fixed					
All-through schools distance threshold (miles)		All-through pupil number average year group threshold		Fixed, tapered or NFF sparsity all-through lump sum?	Fixed					
9) Fringe Payments						£0	0.00%			
10) Split Sites						£217,312	0.20%	0.00%		
11) Rates						£1,113,104	1.03%	0.00%		
12) PFI funding						£0	0.00%	0.00%		
13) Exceptional circumstances (can only be used with prior agreement of ESFA)										
Circumstance						Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)		
Additional lump sum for schools amalgamated during FY20-21						£0	0.00%	0.00%	0.00%	
Additional sparsity lump sum for small schools						£0	0.00%	0.00%		
Exceptional Circumstance3						£0	0.00%	0.00%		
Exceptional Circumstance4						£0	0.00%	0.00%		
Exceptional Circumstance5						£0	0.00%	0.00%		
Exceptional Circumstance6						£0	0.00%	0.00%		
Exceptional Circumstance7						£0	0.00%	0.00%		
Total Funding for Schools Block Formula (excluding minimum per pupil funding level and MFG Funding Total)						£108,244,948	100.00%			
14) Additional funding to meet minimum per pupil funding level						£0	0.00%	0.00%		
Total Funding for Schools Block Formula (excluding MFG Funding Total)						£108,244,948	100.00%			
15) Minimum Funding Guarantee						0.50%	£1,087,800			
Where a value less than 0.5% or greater than 2% has been entered please provide the disappication reference number authorising the value										
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)										
Capping Factor (%)										
Scaling Factor (%)										
Total deduction if capping and scaling factors are applied						£0				
						Total (£)	Proportion of Total funding (%)	Notional SEN (%)		
MFG Net Total Funding (MFG + deduction from capping and scaling)						£1,087,800	0.99%	0.00%		
Total Funding for Schools Block Formula						£109,332,748		£12,648,015		
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)						£0.00				
Additional funding from the high needs budget						£0.00				
Growth fund (if applicable)						£0.00				
Falling rolls fund (if applicable)						£200,000.00				
Other Adjustment to 20-21 Budget Shares						£0				
Total Funding For Schools Block Formula (including growth and falling rolls funding)						£109,532,748				
% Distributed through Basic Entitlement						75.06%				
% Pupil Led Funding						92.21%				
Primary: Secondary Ratio						1 :	1.29			