

# Audit and Pensions Committee

## Agenda

Tuesday 15 September 2020 at 6.30 pm  
This meeting will be held remotely

### MEMBERSHIP

Administration	Opposition
Councillor Iain Cassidy (Chair) Councillor Jonathan Caleb-Landy Councillor Rebecca Harvey Councillor PJ Murphy	Councillor Alex Karmel Councillor Matt Thorley

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[youtu.be/n7djuvRGb4I](https://youtu.be/n7djuvRGb4I)

## **Audit and Pensions Committee Agenda**

<b><u>Item</u></b>		<b><u>Pages</u></b>
<b>1. APOLOGIES FOR ABSENCE</b>		
<b>2. ROLL CALL AND DECLARATIONS OF INTEREST</b>		
	A roll call will be carried out to confirm attendance and Councillors will have the opportunity to declare any interests.	
	If a Councillor has a disclosable pecuniary interest in a particular item, whether or not it is entered in the Authority's register of interests, or any other significant interest which they consider should be declared in the public interest, they should declare the existence and, unless it is a sensitive interest as defined in the Member Code of Conduct, the nature of the interest at the commencement of the consideration of that item or as soon as it becomes apparent.	
	At meetings where members of the public are allowed to be in attendance and speak, any Councillor with a disclosable pecuniary interest or other significant interest may also make representations, give evidence or answer questions about the matter. The Councillor must then withdraw immediately from the meeting before the matter is discussed and any vote taken.	
	Where Members of the public are not allowed to be in attendance and speak, then the Councillor with a disclosable pecuniary interest should withdraw from the meeting whilst the matter is under consideration. Councillors who have declared other significant interests should also withdraw from the meeting if they consider their continued participation in the matter would not be reasonable in the circumstances and may give rise to a perception of a conflict of interest.	
	Councillors are not obliged to withdraw from the meeting where a dispensation to that effect has been obtained from the Standards Committee.	
<b>3. MINUTES OF THE PREVIOUS MEETING</b>		5 - 13
	To approve the minutes of the previous meeting and to note any outstanding actions.	
<b>4. EXTERNAL AUDIT PLAN AND PROGRESS UPDATE</b>		14 - 60
	This item will cover the external audit plan and an external audit progress report.	
<b>5. TREASURY MANAGEMENT OUTTURN REPORT 2019-20</b>		61 - 68
	This report presents the Council's annual Treasury Management Outturn Report for 2019/20 in accordance with the Council's treasury management practices.	

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| <b>6.</b>  | <b>CORPORATE ANTI-FRAUD SERVICE PERFORMANCE REPORT - APRIL 2019 TO MARCH 2020</b>   | 69 - 84   |
|            | This report provides an account of fraud-related activity undertaken by the Corporate Anti-Fraud Service from 1 April 2019 to 31 March 2020.  |           |
| <b>7.</b>  | <b>ANTI-FRAUD AND CORRUPTION STRATEGY REVIEW</b>  | 85 - 104  |
|            | This item contains the revised Anti-Fraud and Corruption Strategy 2020, for review and approval.  |           |
| <b>8.</b>  | <b>RISK MANAGEMENT HIGHLIGHT REPORT</b>   | 105 - 122 |
|            | This report provides an update on risk management across the Council.   |           |
| <b>9.</b>  | <b>HEAD OF INTERNAL AUDIT ANNUAL REPORT 2019-20</b>   | 123 - 202 |
|            | This report summarises the work of Internal Audit in 2019/20 and provides the opinion of the Director of Audit, Fraud, Risk and Insurance on the adequacy and effectiveness of the Council's framework of governance, risk management and control.  |           |
|            | This item contains the following Final Internal Audit Reports:  |           |
|            | <ul style="list-style-type: none"> <li>• Appendix 5 – Final Report – Engaging Support Workers Through Agencies</li> <li>• Appendix 6 – Final Report – Highways Deposits and Refunds</li> <li>• Appendix 7 – Final Report – Housing Service Charges</li> <li>• Appendix 8 – Final Report – Cyber Security</li> </ul> |           |
| <b>10.</b> | <b>INTERNAL AUDIT PLAN 2020-21</b>  | 203 - 218 |
|            | This report presents the Internal Audit Plan for 2020/21.   |           |
| <b>11.</b> | <b>INTERNAL AUDIT CHARTER</b>   | 219 - 230 |
|            | This item presents the Council's Internal Audit Charter for review.   |           |
| <b>12.</b> | <b>DATE OF THE NEXT MEETING</b>   |           |
|            | The next meeting is scheduled for the 1 <sup>st</sup> of December.  |           |

